November 2, 2015

The Honorable Harold Rogers 2406 Rayburn House Office Building Washington, D.C. 20515

The Honorable Nita Lowey 2365 Rayburn House Office Building Washington, D.C. 20515 The Honorable Thad Cochran 113 Dirksen Senate Office Building Washington, D.C. 20510

The Honorable Barbara Mikulski 503 Hart Senate Office Building Washington, D.C. 20510

On behalf of the undersigned coalitions, which represent over 500 combined member organizations incorporating the voices of industry, scientists, and higher education in the United States—we applaud Congress for taking the first step toward averting the harmful impacts of sequester-level discretionary spending caps and a year-long continuing resolution on the American scientific enterprise. As you allocate the additional funding made available under the Bipartisan Budget Act of 2015, we urge you to make strong investments in America's innovation ecosystem one of your highest priorities by increasing federal research funding by at least 5.2 percent above FY 2015 levels—the same level of increase to discretionary spending.

We encourage you to build upon the bipartisan commitment already evident in FY 2016 appropriations bills, and enact legislation that provides real growth for federal investments in research and development across the board. Our recommendation echoes that of over 300 organizations from all fifty states representing American industry, higher education, science, and engineering, and a group of senior corporate leaders who recently endorsed the statement *Innovation: An American Imperative* (see attached). This statement urges Congress to enact policies and make investments that ensure the United States remains the global innovation leader.

Sequestration and the failure of federal research and development funding to keep pace with inflation in recent years are eroding our nation's capacity to innovate. Federal agencies' ability to fund cutting-edge research and recruit and retain scientific talent for intramural research has suffered and so has our nation's ability to capitalize on discoveries that could benefit society in ways not yet realized. While the U.S. has been holding overall federal research funding flat, other nations such as China and South Korea and a number of European countries have been making long term commitments to robust investments in research. If we continue on our current path, we risk creating an innovation deficit, as other countries work to create an innovation dividend.

We urge you to take this opportunity to act decisively in favor of American innovation so that our nation's economic, health, and national security will prosper for many years in the future.

Sincerely,

Dustin Todd Co-Chair, **Task Force on American Innovation** Semiconductor Industry Association

*The Task Force on American Innovation (TFAI)* is an alliance of America's leading companies and business associations, research university associations, and scientific societies that advocates on behalf of federal funding of basic research in the physical sciences and engineering.

Nick Saab Co-Chair, **Coalition for Aerospace and Science** Lewis-Burke Associates LLC

Michael Fox Co-Chair, **Coalition for Aerospace and Science** Aerospace Industries Association, Civil Space Committee

*The Coalition for Aerospace and Science (CAS)* is an alliance of over 50 industry, university and science organizations united in our support for robust and sustained federal funding for the National Aeronautics and Space Administration (NASA) and National Oceanic and Atmospheric Administration (NOAA).

### Jennifer Greenamoyer Co-chair, **Coalition for National Security Research** American Institute of Physics

*The Coalition for National Security Research* is a broad-based coalition of 74 members including industry, research universities and institutes, and scientific and professional associations committed to a strong Defense Science and Technology Program.

## Paul Doucette Co-Chair, **Energy Sciences Coalition** Battelle

*The Energy Sciences Coalition (ESC)* is a broad based coalition of organizations representing scientists, engineers and mathematicians in universities, industry and national laboratories who are committed to supporting and advancing the scientific research programs of the U.S. Department of Energy (DOE) Office of Science.

## Sam Rankin Chair, Coalition for National Science Funding American Mathematical Society

*The Coalition for National Science Funding (CNSF)* is an alliance of over 140 professional organizations, universities and businesses united by a concern for the future vitality of the national science, mathematics, and engineering enterprise.

## Jon Groteboer President, **The Science Coalition** Harvard University

*The Science Coalition* a nonprofit, nonpartisan organization of more than 50 of the nation's leading public and private research universities that is dedicated to sustaining the federal government's investment in basic scientific research as a means to stimulate the economy, spur innovation and drive America's global competitiveness.

Jennifer Poulakidas Co-President, **United for Medical Research** Association of Public and Land-grant Universities

United for Medical Research (UMR) is a coalition of leading research institutions, patient and health advocates, and private industry that have joined together to seek steady increases in funding for the National Institutes of Health.

> Juliane Baron Chair, **Friends of the Institute for Education Sciences** American Educational Research Association

*The Friends of the Institute of Education Sciences* is support the critical research, data, statistics and evaluation programs at IES. Our mission is to advance the objectives of IES and encourage federal investment to conduct the highest quality education research, data collection, evaluations and dissemination at IES.

Karl Anderson Co-chair, Agriculture and Food Research Initiative Coalition American Society of Agronomy, Crop Science Society of America, Soil Science Society of America Meghan O'Brien Co-chair, **Agriculture and Food Research Initiative Coalition** American Society for Microbiology Juli Obudzinski Co-chair, **Agriculture and Food Research Initiative Coalition** National Sustainable Agriculture Coalition

*The Agriculture and Food Research Initiative (AFRI) Coalition* is a coalition of scientific societies and science advocacy organizations who support full appropriation of the Agriculture and Food Research Initiative (AFRI) competitive grants program over time.

> R. Thomas Van Arsdall Executive Director, **National Coalition for Food and Agricultural Research** President, Van Arsdall and Associates

*The National Coalition for Food and Agricultural Research (NC-FAR)* is a nonprofit, nonpartisan, consensusbased and customer-led coalition that serves as a forum and a unified voice in support of sustaining and increasing public investment at the national level in food and agricultural research, extension and education.

Alison Mize Co-Chair, **Biological and Ecological Sciences Coalition** Ecological Society of America Robert Gropp, Ph.D. Co-Chair, **Biological and Ecological Sciences Coalition** Chair, **USGS Coalition** American Institute of Biological Sciences

*The Biological and Ecological Sciences Coalition (BESC)* is an alliance of scientific and professional societies, associations, and academic institutions are united by a goal to enhance awareness and appreciation for the biological and ecological sciences on Capitol Hill, at the White House, and in federal agencies.

*The USGS Coalition* The USGS Coalition is an alliance of over 70 organizations united by a commitment to the continued vitality of the United States Geological Survey (USGS) and its ability to provide critical data and services to the nation.

David Moore Executive Director, **Ad Hoc Group for Medical Research** Association of American Medical Colleges

*The Ad Hoc Group for Medical Research* is a coalition of patient and voluntary health groups, medical and scientific societies, academic and research organizations, and industry that support enhancing the federal investment in the biomedical, behavioral, and population-based research conducted and supported by the NIH.

CC: The Honorable Mitch McConnell The Honorable Richard Shelby The Honorable Lamar Alexander The Honorable Susan Collins The Honorable Lisa Murkowski The Honorable Lindsey Graham The Honorable Mark Kirk The Honorable Roy Blunt The Honorable Jerry Moran The Honorable John Hoeven The Honorable John Boozman The Honorable Shelley Capito The Honorable Bill Cassidy The Honorable James Lankford The Honorable Steve Daines The Honorable Patrick Leahy The Honorable Patty Murray The Honorable Dianne Feinstein The Honorable Dick Durbin The Honorable Jack Reed The Honorable Jon Tester The Honorable Tom Udall The Honorable Jeanne Shaheen The Honorable Jeff Merklev The Honorable Christopher Coons The Honorable Brian Schatz The Honorable Tammy Baldwin The Honorable Chris Murphy

The Honorable Rodney P. Frelinghuysen The Honorable Robert B. Aderholt The Honorable Kay Granger The Honorable Michael K. Simpson The Honorable John Culberson The Honorable Ander Crenshaw The Honorable John R. Carter The Honorable Ken Calvert The Honorable Tom Cole The Honorable Mario Diaz-Balart The Honorable Charles W. Dent The Honorable Tom Graves The Honorable Kevin Yoder The Honorable Steve Womack The Honorable Jeff Fortenberry The Honorable Tom Rooney The Honorable Chuck Fleischmann The Honorable Jaime Herrera Beutler The Honorable David Joyce The Honorable David Valadao The Honorable Andy Harris, MD The Honorable Martha Roby The Honorable Mark Amodei The Honorable Chris Stewart The Honorable Scott Rigell The Honorable David Jolly The Honorable David Young The Honorable Evan Jenkins The Honorable Steven Palazzo The Honorable Marcy Kaptur The Honorable Peter J. Visclosky The Honorable José E. Serrano The Honorable Rosa L. DeLauro

The Honorable David E. Price The Honorable Lucille Roybal-Allard The Honorable Sam Farr The Honorable Chaka Fattah The Honorable Sanford D. Bishop The Honorable Barbara Lee The Honorable Michael M. Honda The Honorable Betty McCollum The Honorable Steve Israel The Honorable Tim Ryan The Honorable C.A. Dutch Ruppersberger The Honorable Debbie Wasserman Schultz The Honorable Henry Cuellar The Honorable Chellie Pingree The Honorable Mike Quigley The Honorable Derek Kilmer

# **INNOVATION: AN AMERICAN IMPERATIVE**

A call to action by American industry, higher education, science, and engineering leaders urging Congress to enact policies and make investments that ensure the United States remains the global innovation leader.

Our nation knows what it takes to innovate: a sustained commitment to scientific research, a world-class workforce, and an economic climate that rewards entrepreneurship and innovation. As the most dynamic and prosperous nation in the world, the United States has long benefitted from policies and investments that have promoted innovation and in turn driven productivity and economic growth, bolstered American trade, ensured our health and national security, and safeguarded the American dream. Our leadership is now at risk because of years of under-prioritizing federal scientific research investments and policies that promote innovation.

Now is not the time to rest on past success. As noted by the American Academy of Arts and Sciences in its 2014 Report Restoring the Foundation: The Vital Role of Research in Preserving the American Dream, "There is a deficit between what America is investing and what it should be investing to remain competitive, not only in research but in innovation and job creation." Competitor nations are challenging our leadership by copying our playbook for success. At the same time our nation's support for scientific research and innovation is stagnating. If these trends continue, other countries will soon surpass the United States as the global innovation leader.

We must heed the warnings in the Restoring the Foundation report and other salient reports of the past decade and act decisively. In particular, Congress must:

# *Renew the federal commitment to scientific discovery*

by ending sequestration's deep cuts to discretionary spending caps and providing steady and sustained real growth in funding of at least four percent for basic scientific research at: the National Science Foundation, the National Institutes of Health, the Department of Energy's Office of Science, the Department of Defense, NASA, the National Institute of Standards and Technology, USDA, and NOAA;

## Make permanent a strengthened federal R&D tax credit

as a part of comprehensive tax reform to encourage more private-sector innovation investment here in America instead of in competitor countries;

## Improve student achievement in science, technology, engineering, mathematics (STEM)

through increased funding of proven programs and incentives for science and math teacher recruitment and professional development;

# *Reform U.S. visa policy*

to welcome and keep highly educated international professionals, particularly those holding STEM degrees from U.S. universities;

# Take steps to streamline or eliminate costly and inefficient regulations

and practices governing federally funded research to help unburden researchers to focus more time on conducting research and training the next generation of scientists, engineers, health care professionals, and business leaders;

# Reaffirm merit-based peer review

as the primary mechanism major federal agencies should employ in making competitive scientific research grants to ensure the most effective use of taxpayer dollars; and

# Stimulate further improvements in advanced manufacturing

through support for programs aimed at accelerating manufacturing innovation and new federal-industry-academic partnerships.

We, the signatories, urge support for these actions to keep the United States the global innovation leader. We stand ready to do our part.

a m Samuel R. Allen Chairman & CEO

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Kenneth C. Frazier Chairman & CEO Merck & Co., Inc

John Deere

Marillyn A. Hewson Chairman, President, & CEO Lockheed Martin Corporation

Norman R. Augustine Co-Chair Restoring the Foundation

Chairman Royal Dutch Shell plc

atya **W**adella CEO Microsoft

Wes Bush Chairman, President & CEO Northrop Grumman



Chairman of the Board & CEO The Boeing Company

ay Timmons President & CEO National Association of Manufacturers

Academy of Radiology Research Acoustical Society of America Ad Hoc Group for Medical Research Adv. Technical Intelligence Assn. Aerospace Industries Association Agricultural & Applied Economics Association Aizoon Technology Consulting AMD American Academy of Arts & Sciences American Anthropological Association American Association for Dental Research American Association for the Advancement of Science American Association for the Study of Liver Diseases American Association of Colleges of Pharmacy American Association of Mycobacterial Diseases American Association of Petroleum Geologists American Association of Physical Anthropologists American Association of Physicists in Medicine American Association of Physics Teachers American Association of State Colleges and Universities American Astronomical Society American Chemical Society American Council on Education American Dairy Science Association American Dental Education Association American Educational Research Association American Geophysical Union American Geosciences Institute American Institute for Medical & Bio. Engineering American Institute of Aeronautics and Astronautics American Institute of Chemists American Institute of Physics American Mathematical Society American Meteorological Society American Physical Society American Physiological Society American Phytopathological Society American Political Science Association American Psychological Association American Society for Engineering Education American Society for Microbiology American Society for Nutrition American Society of Agronomy American Society of Animal Science American Society of Plant Biologists American Sociological Association American Veterinary Medical Association Anchorage Economic Development Corporation Applied Materials, Inc Archaeological Institute of America Arizona Nevada Academy of Science (ANAS) Arizona State University Arkansas Research Alliance ASME Association for Information Science and Technology Association for Psychological Science Association for Women in Mathematics Association for Women in Science Association of American Geographers Association of American Medical Colleges Association of American Universities Association of American Veterinary Medical Colleges Association of Independent Research Institutes Association of Public and Land-grant Universities Association of Research Libraries Association of University Technology Managers ASTRA, Alliance for Science & Technology Research in America Auburn University Battelle Bay Area Council **Biophysical Society** Biotechnology Industry Organization Boise State University **Boston University** Botanical Society of America Brown University **Business-Higher Education Forum** California Institute of Technology California Polytechnic State University Carnegie Mellon University Cary Institute of Ecosystem Studies Case Western Reserve University Center for Policy on Emerging Technologies **Clemson University** Coalition for Aerospace and Science Coalition for National Science Funding **Coalition for National Security Research** Colorado School of Mines Colorado State University Columbia University Computing Research Association Consortium for Ocean Leadership Consortium of Social Science Associations **Cornell University Council of Graduate Schools Council of Scientific Society Presidents** Council on Competitiveness **Council on Governmental Relations** Crop Science Society of America Delaware State University Duke University Earthquake Engineering Research Institute Ecological Society of America EDUCAUSE **Emory University Energy Sciences Coalition** Entomological Society of America **EPICenter Memphis** 

#### FASS Federation of American Societies for Experimental Biology Federation of Associations in Behavioral and Brain Sciences Florida State University Foundation for Science and Disability Genetics Society of America Geological Society of America George Mason University Georgia Institute of Technology Georgia Regents University Georgia Research Alliance Google Greater Boston Chamber of Commerce Greater Madison Chamber of Commerce Harvard University Hawaii Academy of Science Hepatitis B Foundation Hewlett-Packard Company Human Factors and Ergonomics Society IBM Idaho Academy of Science and Engineering **IEEE-USA** Indiana University Infineon Technologies Americas Corp. Information Technology Industry Council (ITI) Innovation Associates Institute of Food Technologists **Intel Corporation** International Economic Development Council International Society for Educational Planning International Technology and Engineering Educators Assn. Iowa State University IPC - Association Connecting Electronics Industries Jefferson Science Associates LLC Kansas State University Kent State University Kentucky Academy of Science Linguistic Society of America Louisiana State University Lowell Observatory Maine State Chamber of Commerce Massachusetts Institute of Technology Materials Research Society Mathematical Association of America Michigan State University Michigan Technological University Micron Technology, Inc MN-SBIR Montana State University National Alliance for Eye and Vision Research National Association of Colleges and Employers National Association of Geoscience Teachers National Association of Graduate-Professional Students National Association of Marine Laboratories National Center for Science Education National Center for Technological Literacy- Museum of Science National Coalition for Food and Agricultural Research National Council for Science and the Environment National Defense Industrial Association National Ground Water Association National Science Education Leadership Association National Science Teachers Association New Mexico State University New York University North Carolina Academy of Science North Dakota State University Northeastern University Northern Illinois University Ohio University Oklahoma Academy of Science Oklahoma State University **ON Semiconductor** ONAMI Oregon State University Pace University Parapsychological Association Penn State University Phiston Technologies, Inc. **Population Association of America** Portland State University **Poultry Science Association** Princeton University

Stanford University State University of New York Stillwater Chamber of Commerce Stony Brook University Supporters of Agricultural Research Foundation SURA Syracuse University Task Force on American Innovation Technology Association of Georgia TechVision21 Texas A&M University **Texas Instruments Incorporated Texas Tech University** The Coalition for the Life Sciences The College of William and Mary The Electrochemical Society The Industrial Research Institute The Johns Hopkins University The New England Council The Ohio State University The Optical Society The Procter & Gamble Company The Science Coalition The University of Alabama at Birmingham Tri-City Development Council - Tri-Cities, WA **Tufts University** UEDA UNAVCO United for Medical Research Universities Research Association University at Buffalo, State University of New York University City Science Center University Corporation for Atmospheric Research University of Alaska University of Alaska Fairbanks University of Arizona University of Arkansas University of California System University of California, Berkeley University of California, Davis University of California, Irvine University of California, Los Angeles University of California, Merced University of California, Riverside University of California, San Diego University of California, San Francisco University of California, Santa Barbara University of California, Santa Cruz University of Central Florida University of Cincinnati University of Colorado at Colorado Springs University of Colorado Boulder University of Colorado Denver University of Colorado, Anschutz Medical Campus University of Connecticut University of Delaware University of Florida University of Georgia University of Hawaii University of Illinois University of Illinois at Chicago University of Illinois at Urbana-Champaign University of Iowa University of Kansas University of Louisville University of Massachusetts Amherst University of Maryland University of Michigan University of Minnesota University of Mississippi University of Missouri System University of Nebraska University of Nevada, Reno University of New Hampshire University of New Mexico University of North Carolina at Chapel Hill University of North Carolina System University of North Carolina Wilmington (UNCW) University of Notre Dame University of Oklahoma University of Oregon University of Pennsylvania University of Pittsburgh University of Rochester University of South Dakota University of South Florida University of Southern California University of Tennessee University of Toledo University of Vermont University of Virginia University of Washington University of Wisconsin System University of Wisconsin-Madison University of Wisconsin-Milwaukee University of Wyoming Utah State University Van Fleet & Associates Vanderbilt University Vermeer Corporation Washington State University Washington State University, Tri Cities Washington University in St. Louis Wayne State University West Virginia University Western Massachusetts Economic Development Council Western Michigan University Woods Hole Oceanographic Institution Yale University

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This document – along with additional endorsers signing on after October 15, 2015 – can be found at innovationimperative.org